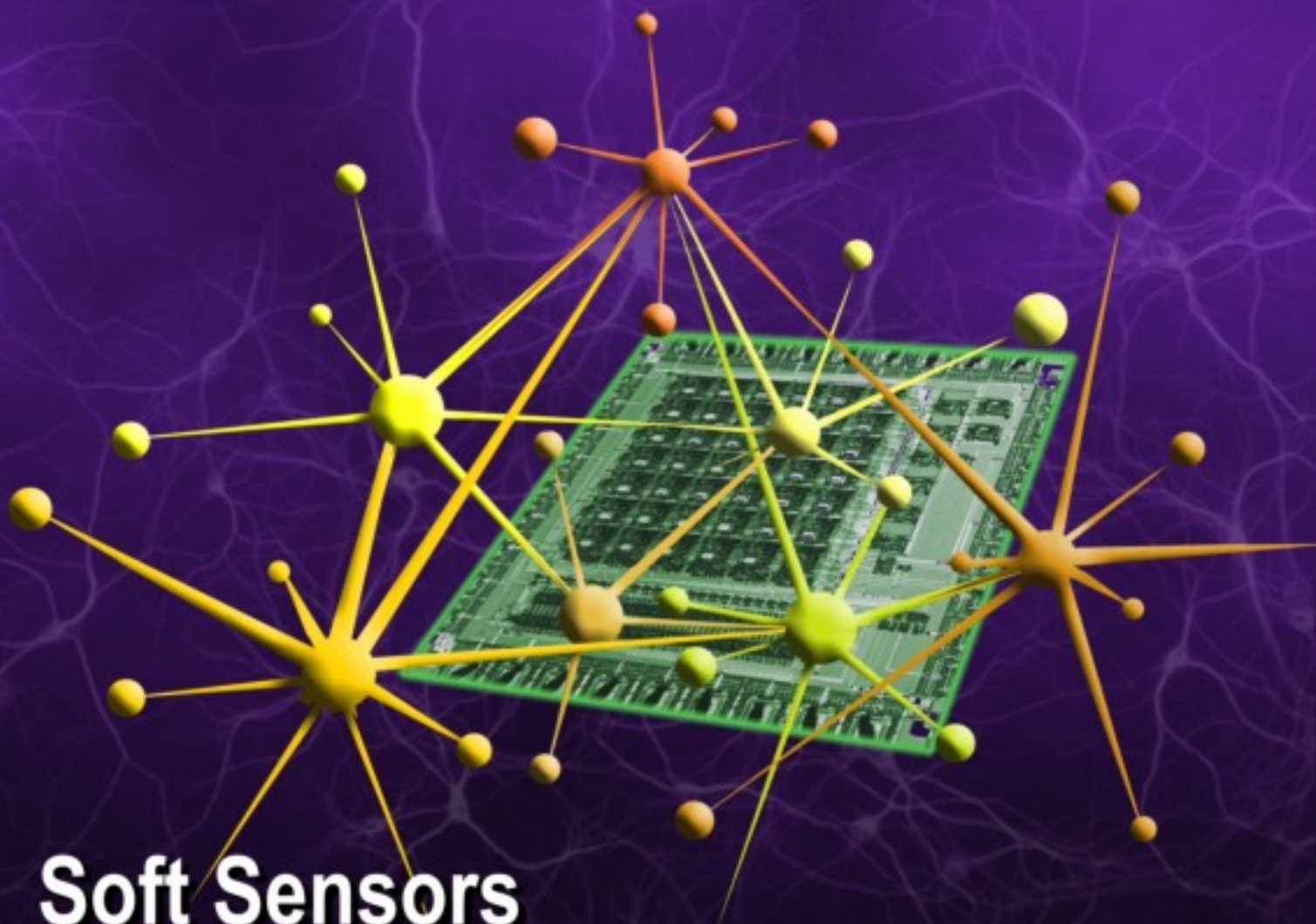


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A Genetic Algorithm for Optimization in Conceptual Design Phase of Robots

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Abstract: One of the most important criteria of the industrial robots is the dynamic performance of manipulators. There are some critical parameters which determine the dynamic performance of robot manipulators. While the correlations between these parameters are complex and highly non-linear, deciding on these parameters to optimize the dynamic performance of manipulators is a difficult and time-consuming task, especially in the early conceptual design phase. The gearbox size and the lengths of the arms are parameters that have a large impact on the performance and the cost of robots. In order to perform optimization, a mathematical programming model is developed. An objective function is defined to determine optimal gearboxes and arm lengths from an acceleration capability perspective. The arm lengths are treated as continuous variables whereas the gearboxes are selected from a list of available units. This paper presents a Genetic algorithm procedure which shows how optimization can be used in the early phases of a development process in order to evaluate the potential of a concept. This study considers a three degree of freedom robot. The mathematical model is coded in the C language and optimized using the Genetic algorithm. Comparison of the obtained results with optimum values based on Complex algorithm clearly shows the advantages of the proposed method.
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Keywords: Manipulator, Optimization, Genetic Algorithm

1. Introduction

Many engineering problems in robot design are characterized by complexity and the presence of several conflicting design objectives. This is true for the conceptual design phase as well as the detailed design phase. In this paper the focus is on the conceptual design stage and on how rather

simple mathematical models could be applied together with modern technique based on Genetic algorithm in order to support the designer. With the help of the presented technique the designer could investigate different conceptual designs and evaluate how changing requirements affect the optimal design. The optimization is carried out on a three degree of freedom (DOF) serial manipulator with an offset of the lower arm from axis one. The robot is mathematically modeled and coded in the C language program based on the proposed Genetic algorithm all and calculations are done in this package. The optimization problem is formulated as minimizing the weight of the gearboxes subjected to a set of constraints. These constraints are amongst others, a reach of 2.5 meters, a payload of 100 kg, and minimum acceleration of 0.75 G in the x-y-z directions of the base frame of the robot at predefined points. In most industrial robots the actuators consist of an electric motor and a gearbox. In this paper the motors are assumed to have a constant mass and are always able to deliver sufficient amount of torque to the gearbox. The size of the gearboxes has large impact on the overall cost of the robot and is therefore an interesting parameter at the early phases of robot design. The gearboxes are treated as discrete choices with a given mass and output torque.

In the literature there exist several methods for measuring dynamic performance of manipulators when using optimization techniques. Yoshikawa, 1985, developed the dynamic manipulability ellipsoid to measure the ease of changing the end-effector velocity in different directions for fixed kinetic energy. In later years, Graettinger and Krogh, 1989, introduced a performance measure termed *acceleration radius*. For given bounds of joint torques, the corresponding acceleration radius is defined by the minimum upper bound of the magnitude of end-effector acceleration over the whole workspace. The method presented in this paper merely measures the dynamic performance by investigating the acceleration capability in some of the points within the workspace. The idea is not to present a new method for measuring the dynamic performance of manipulators, but rather to show the usefulness of optimization for evaluation and understanding of the behaviour of different concepts in the early phases of robot design.

In the following section the creation and implementation of the dynamic model is discussed. In Section three the optimization problem is formulated and subroutines calculating inverse kinematics, torques etc used in the optimization loop and the proposed Genetic algorithm is presented as well. Finally the results are presented together with a thorough discussion on the presented method.

2. The Dynamic Model

The dynamic model is created using the Lagrangian equations of motion. Lagrange's equation is very useful in deriving equations of motion using potential and kinetic energies. Since positions and velocities are used to evaluate these energies, no acceleration is involved. Thus the kinematics part of the problem is very much simplified. Formulating the equation of motion using a set of independent generalized coordinates i.e. the joint variables leads to Lagrange's equation. With a suitable choice of coordinate systems, the equations of motion can be obtained in a simple and straightforward manner. Suppose q_1 , q_2 and q_3 are generalized coordinates, the general form of Lagrange's equation may be expressed as follow:

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_i} - \frac{\partial L}{\partial q_i} = Q_{i(n)} ; \quad i = 1,2,3, \quad (1)$$

where

$$L = K - U , \quad (2)$$

and where $Q_{i(n)}$ is the generalized non-conservative force, K and U are the kinetic and potential energy of the system, respectively and L is called Lagrange's function or Lagrangian which is defined as the difference between the kinetic (K) and potential energy (U) of a mechanical system.

The Q does not necessarily mean force, but the product Q times q always has the dimension of work.

If the coordinate systems to each link in the robot are placed according to the Denavit-Hartenberg method used by Spong and Vidyasagar [3], it is easy and straight forward to write the transformation and rotation matrices between the different link frames. Together with the inertia matrices for every link and the jacobian for the manipulator, it is now possible to rewrite the equation of motion as:

$$M(q)\ddot{q} + V(q, \dot{q}) + G(q) = Q, \quad (3)$$

This equation is called the general form of dynamical equations. The vector V is called the velocity coupling vector.

There are two distinct types of velocity coupling between joints. The velocity squared terms correspond to centripetal terms, and the velocity product terms correspond to the Coriolis terms. The manipulator inertia matrix M is symmetric and positive definite and therefore always invertible. The offdiagonal elements of M represent acceleration coupling effects between joints (Lung, 1999).

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3. The Optimization Problem

The optimization problem is formulated as to minimize the weight of the gearboxes, by choosing different discrete gearboxes, and changing the lengths of the arms continuously, subjected to a few requirements on acceleration capability, reach and payload capacity. This formulation can be interpreted as to design the cheapest possible robot that still meets the acceleration demands. The acceleration should be achieved in the x- y-and z-direction of the base frame. The base frame is a coordinate system connected to the arm which is connected to the ground, i.e. arm one in Fig 2. The constraints are formulated as penalty functions added to the objective function. The optimization problem is thus formulated according to equation 4.

$$\begin{aligned} \text{Min: } & f(m_1, m_2, m_3) + g_1(\tau_{available}, \tau_{needed}) + g_2(offset, a_2, a_3) \\ & + g_3(m_1, m_2, m_3, offset, a_2, a_3) \\ \text{s.t } & x_i^l \leq x_i \leq x_i^u \quad ; \quad i = 1, \dots, 6, \end{aligned} \quad (4)$$

where, the six optimization variables, x_i , are bounded between lower and upper limits. The first three optimization variables represent the size of the gearboxes whereas the other three represent the offset and the arm lengths. f is the sum of the weights of the gearboxes. The g_1 is a penalty function in case if the torque needed to achieve the required acceleration exceeds the torque available. The g_2 is a penalty function in case the reach requirement is not fulfilled. The last function g_3 , merely sums up the torques at all joints. This is done in order to search for arm lengths which minimize the magnitude of the torques once the lightest possible set of gearboxes is found. Each penalty function is zero when the

corresponding constraint is not violated and then grows exponentially with the degree of constraint violation.

How the different parts in the objective function are derived and how the Genetic algorithm works is explained in the following chapters.

3.1. Calculation Steps

In order to be able to solve the minimizing problem a few calculations are required. The acceleration requirement is handled as an ordinary constraint by setting the acceleration in the Cartesian space to the desirable value before starting the optimization process. As an argument to the penalty function g_1 , the necessary torque to achieve the required acceleration in the end-effector space (Cartesian space) needs to be calculated.

In order to do this, one needs to map the end-effector acceleration into the joint space. The relation between the velocity of the end-effector and the corresponding angular velocities of the joints can be written as

$$\dot{x} = J(q) \cdot \dot{q}, \quad (5)$$

where J is the Jacobian. The acceleration is obtained by differentiating both sides with respect to time, giving

$$\ddot{x} = J(q) \cdot \ddot{q} + \frac{dJ(q)}{dt} \cdot \dot{q}. \quad (6)$$

Assuming that the manipulator has zero velocity before evaluation at each predefined point in the workspace, the last term in equation (6) also turns zero. Also assuming that $J(q)$ is a nonsingular and square matrix, one obtains an expression for the corresponding angular accelerations by multiplying both sides with

$$\ddot{q} = J^{-1}(q) \cdot \ddot{x}. \quad (7)$$

Since the Jacobian $J(q)$ is highly dependent on the lengths of the arms, equation (7) needs to be calculated for every set of arms. To calculate the required torque, one also has to map the position of the robot in Cartesian space into the joint space in order to achieve the vector q that corresponds to the Cartesian position. The vector q also has to be calculated for every new set of arm lengths. By letting the result in equation (7) together with the vector q corresponding to the current position entering equation (3), the necessary torques Q are obtained. The other argument entering the penalty function g_1 , is the available amount of torque corresponding to the current choice of mass of the gearboxes, see Table 2.

The penalty function g_2 has the lengths of the arms as arguments and the function merely sums the lengths and gives a penalty in case the sum is smaller than the required reach. The last penalty function g_3 , calculates the current torque at every joint in order to make the optimization algorithm search for arm lengths which minimize the required torque once the lightest set of gearboxes is found.

3.2. The Genetic Algorithm

The contribution of current research is to solve this optimization problem using Genetic algorithm. While variables corresponded to gearbox are discrete and those corresponded to arms length are continuous, applying Genetic algorithm seems to be more promising rather than other optimal or heuristic algorithms.

Genetic Algorithm (GA) is a stochastic search technique for approximating optimal solutions within complex search spaces (Goldberg, 1989; Holland, 1975). The technique is based upon an analogy with biological evolution, in which the fitness of individual determines its ability to survive and reproduce. The GA mechanism starts by encoding the problem to produce a list of genes.

The genes are represented by numeric or alphanumeric characters. The genes are then randomly combined to produce a population of chromosomes, each of which represents a possible solution. Genetic operations (Mutation and crossover) are performed on chromosomes that are randomly selected from the population. This produces offspring.

The fitness of these chromosomes is then measured based on objective function of the model and the probability of their survival is determined by their fitness.

In the field of application of Genetic algorithms in robotics, the interested readers may referred to Barai and Nonami, 2007; Vadakkepat et al, 2007; Beldek and Leblebiciglu, 2007; Cakar et al, 2007; Velagic et al, 2006; Ghorbani et al, 2007; Mucientes et al, 2006; Barfoot et al, 2006; Mitisit et al, 2007.

3.2.1. Method of Representation

Before a genetic algorithm can be put to work on any problem, a method is needed to encode potential solutions to that problem in a form that a computer can process. To encode the solutions, consider each solution as a D-dimensional parameters vector (D is the number of variables in the model, in this typical problem, D=6). The first three parameters are discrete parameters which are corresponding with gearbox's mass (m_1, m_2, m_3), respectively. Each of them can take a value between 1 and 8 according to the Table 2. Other three parameters are continuous parameters which are corresponding to offset, the length of first arm and the length of second arm, respectively. Each parameter taking value from within user-defined bounds, as mentioned before:

$$x_i^l \leq x_i \leq x_i^u \quad ; \quad i = 1, \dots, 6. \quad (8)$$

3.2.2. Initial Population

Initially, N real-valued D-dimensional vectors are randomly generated. Each of them is considered as a chromosome. N is the size of population. The size of the population does not change during the generation process, and is one of the algorithm's control parameters. Initially, the population is randomly created and may cover the entire parameter space with uniform probability. According to Storn and Price (1997) the size of population must take values between $[5D, 10D]$, but must be at least 4 to ensure that GA will have enough mutually different vectors to work with.

3.2.3. Method of selection

There are many different techniques which a genetic algorithm can use to select the individuals to be copied over into the next generation. In the proposed Genetic algorithm, *Roulette-wheel selection* is used as selection method. The *Roulette-wheel* is a form of fitness-proportionate selection in which the chance of a chromosome being selected is proportional to the amount by which its fitness is greater or less than its competitors' fitness. (Conceptually, this can be represented as a game of roulette - each individual gets a slice of the wheel, but more fit ones get larger slices than less fit ones. The wheel is then spun, and whichever individual "owns" the section on which it lands each time is chosen.). While each chromosome is a feasible solution, the fitness of each chromosome is measured as inverse of its corresponded objective function value.

3.2.4. Method of change

Once selection has chosen fit chromosomes, they must be randomly altered in hopes of improving their fitness for the next generation. There are two basic strategies to accomplish this. The first and simplest is called *mutation*. Just as mutation in living things changes one gene to another, so mutation in a genetic algorithm causes small alterations at single points in an individual's code. As mentioned before, each chromosome is a 6-dimensional vector, divided to two separated 3-dimensional sub-vectors. For each sub-vector, a *mutation* method is applied differs from other one applied to the next sub-vector.

The *mutation* method for first sub-vector, i.e. the one corresponded to gearbox masses, is invoked when a randomly selected number (between 0 and 1) is less than 0.5, otherwise the second *mutation* method, i.e. the one corresponded to length of offset and arms, is used. Therefore, at each stage only one of these *mutation* methods is applied.

In the first *mutation* method, one of the first sub-vector's parameter is changed to next feasible value which differs from existing value of that parameter. Since the value of parameter is corresponded to a type of selected gearbox, this *mutation* method chooses another gearbox type for the robot while other parameters are fixed.

The second *mutation* method chooses a parameter of the second sub-vector, randomly. Then assign a value between the pre-specified lower and upper bound of the corresponded variable to that parameter. The new obtained value must be different from former to prevent generating same chromosomes.

The second method is called *crossover*, and entails choosing two chromosomes to swap segments of their code, producing artificial "offspring" that are combinations of their parents. This process is intended to simulate the analogous process of recombination that occurs to chromosomes during sexual reproduction. Based on *Roulette-wheel selection*, two chromosomes are considered as parents and then the *crossover* swaps each sub-vector of each chromosome with same sub-vector of the next to regenerate two new offsprings.

3.2.5. Termination Criterion

The termination criterion determines when the GA will stop. In other words, the operations of selection, *crossover* and *mutation* are repeated until a termination condition is met. In this method, the process is terminated after 1000 generations are performed. Tests showed that 1000 generations were sufficient for convergence.

3.3. Application

In this section the proposed Genetic algorithm is applied to a three DOF manipulator with revolute joints and an offset of the lower arm from axis one. The manipulator and data are completely same to Pettersson *et. al.* 2004. A schematic diagram of the robot is shown in Fig 1. The arms are modeled as rigid bodies with a density per length unit and extension in one direction i.e. the directions describing the cross section of the arms are neglected. The geometry of the cross section has large impact on the inertia of arm one, but less influence on the inertia of the lower and upper arm. The gearboxes are modeled as point masses located at every joint and the payload is modeled as a point mass located at the end of the upper arm. The densities of the arms are considered constant. The values for each arm are shown in Table 1.

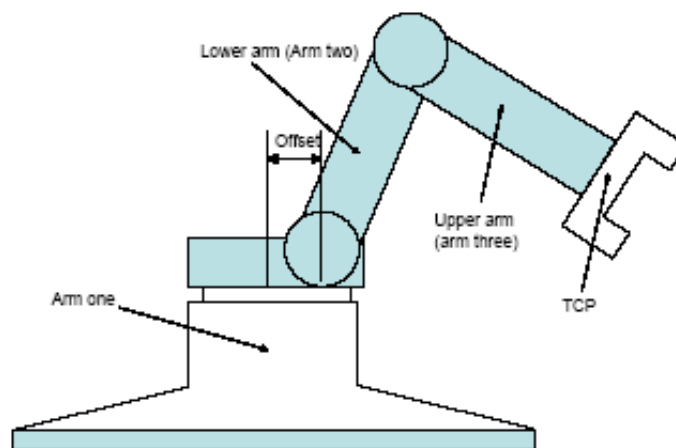


Fig. 1. Schematic diagram of the manipulator.

Table 1. Density for the different arms of the robot.

Robot arm	Density [kg/m]
Base link	1500
Offset	400
Lower arm	100
Upper arm	75

The available gearboxes for the application are shown in the list given in Table 2. All gearboxes are from the same manufacture and of the same type. Thus in order to increase the output torque, a larger gearbox is needed and hence mass increases. If gearboxes are chosen from different manufactures an alternative scenario is possible.

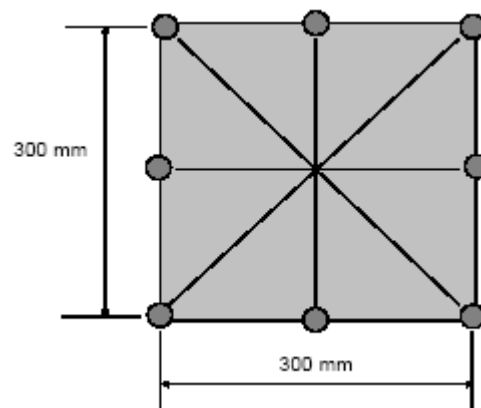
Then it is possible with decreasing mass with increased torque limit due to a different technology and thereby higher cost.

Furthermore, the robot should have a payload capacity of 100 kg and a minimum reach of 2.5 meters. The acceleration requirement is set to 0.75G at the predefined points in the workspace defined in Fig 2.

The performance of the robot is evaluated in x, y, and z direction at all points shown in Fig. 2 for every set of values of the design variables, i.e., gearbox size and arm length. The arms are allowed to vary within the intervals shown in Table 3.

Table 2. Torque-mass relation for available gearboxes.

Gearbox	Output torques (Nm)	Mass (kg)
1	101	2.5
2	231	4.4
3	572	9.5
4	1088	12.7
5	1499	18.0
6	2176	28.0
7	4361	47.0
8	6135	69.0

**Fig. 2.** Pre-defined points in workspace for performance evaluation.**Table 3.** Intervals for the lengths of the arms.

Arm	Lower limit	Upper limit
Offset	0.2	0.4
Lower Arm	0.6	1.6
Upper Arm	0.6	1.4

4. Computational Results

This section discusses the performance and the computational efficiency of the proposed Genetic algorithm in comparison to the Complex algorithm proposed by Pettersson et. al. 2004. In their work, the optimization has been performed using a modified version of the Complex optimization algorithm, which is a non-gradient optimization method that extends the Nelder-Mead Simplex method for unconstrained optimization. This version of the Complex method is described in Krus and Andersson, 2003. The Complex method was first presented by Box, 1965, in the mid 60's, and is an optimal algorithm. The objective function converged into an optimum corresponding to the set of parameters shown in Table 4. The proposed Genetic algorithm was carried out several times with different initial population of chromosomes and the same optimum was found every time. The values of the design variables are too close to the result obtained by Patterson et. al., 2004.

As mentioned in their research, the reason that the interval of the length of offset is not increased even though the Complex and Genetic algorithms has chosen the upper boundary (offset =0.4 or =0.399) is

that a larger offset would decrease the workspace volume too much. This is a constraint which is not included in the optimization and from an acceleration capability point of view it is natural to increase the offset as much as possible. Increased offset has little influence on joint one, but reduces the size of the gearboxes on axis two and three.

For comparison, Figs. 3 and 4 show how the magnitude of the mass of gearbox 1 changes during optimization based on the Complex algorithm proposed by Pettersson et. al. and on the proposed Genetic algorithm, respectively. Figs. 5 and 6 show how the length of upper arm changes during optimization of Complex and Genetic algorithms, respectively.

As it is shown in Fig. 4, in the proposed Genetic algorithm the mass of gearbox 1 is considered to be a discrete variable. As one can see in these figures, the proposed Genetic algorithm converges the solutions more quickly. This is due to the fact that Genetic algorithm is a heuristic algorithm while Complex algorithm is an optimal one. Furthermore, in Genetic algorithm, the strength point is that genetic algorithms are intrinsically parallel. Most other algorithms are serial and can only explore the solution space to a problem in one direction at a time, and if the solution they discover turns out to be sub-optimal, there is nothing to do but abandon all work previously completed and start over. However, since Genetic algorithm has multiple offsprings, it can explore the solution space in multiple directions at once.

If one path turns out to be a dead end, it can easily eliminate it and continue work on more promising avenues, giving them a greater chance each run of finding the optimal solution.

Table 4. List of optimum values of the design variables.

Design variable	Value
Gearbox number 1	47 kg, 4361 Nm
Gearbox number 2	69 kg, 6135 Nm
Gearbox number 3	12.7 kg, 1088 Nm
Length of offset	0.4 m
Length of lower arm	0.1.379 m
Length of upper arm	0.721 m

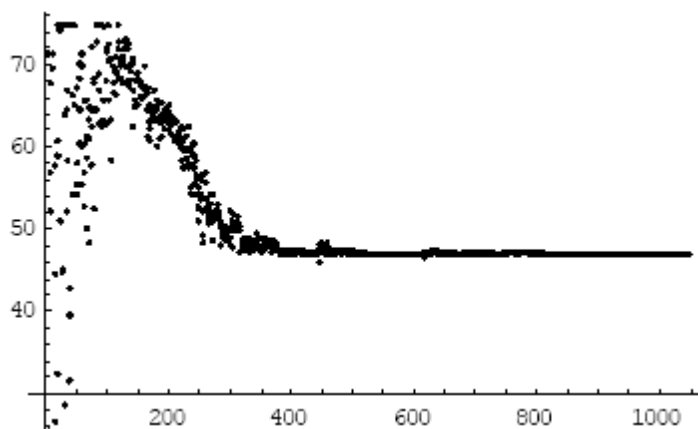


Fig. 3. The mass of gearbox 1 as function of the number of iterations(Complex algorithm).

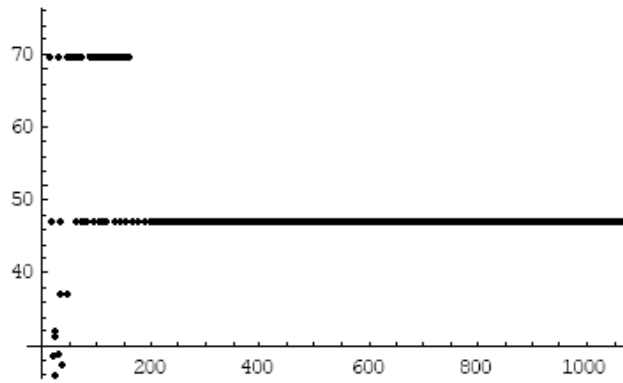


Fig. 4. The mass of gearbox 1 as function of the number of generations (proposed Genetic algorithm).

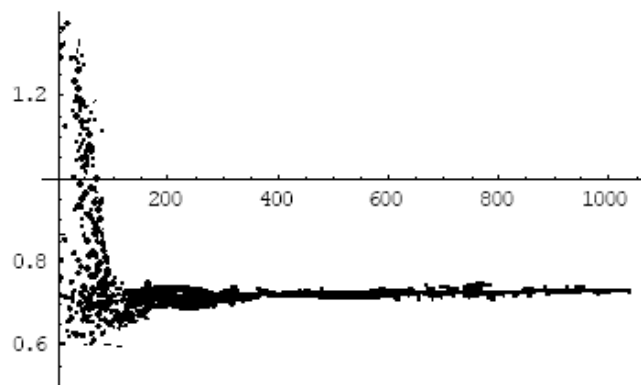


Fig. 5. The length of the upper arm as function of the number of iterations(Complex algorithm).

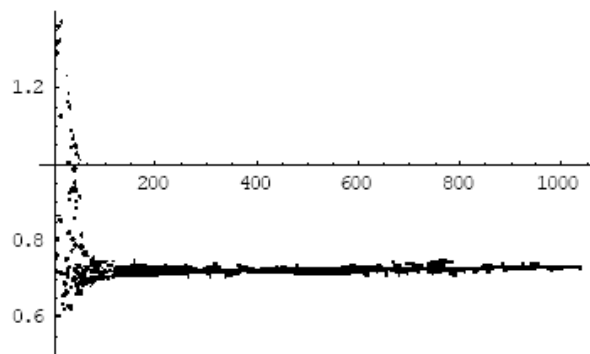


Fig. 6. The length of the upper arm as function of the number of generations (proposed genetic algorithm).

5. Conclusion and Scope for Future Works

This paper presents a method for optimization of robot design in the conceptual design stage based on Genetic algorithm. The robot is mathematically modeled and solved using Genetic algorithm through C language program. The optimization problem is formulated as to determine gearboxes and arm lengths in order to minimize the weight of the gearboxes and simultaneously obtain a prescribed

acceleration at a set of points in the workspace. This formulation can be interpreted as to design the cheapest possible robot that still meets the acceleration demands. This could be time-consuming and difficult problem. The optimization method based on Genetic algorithm showed good capability in finding an optimum set of gearboxes and arm lengths for a three DOF robot manipulator. The results then compared to obtained results based on Complex algorithm proposed by Pettersson et. al., 2004, which is optimal algorithm and it was shown that the results are considerably close to the optimum values. Thus the presented method provides good support for conceptual robot design. The Complex algorithm works with continuous variables, but the gearboxes are available only as discrete alternatives. Hence, the Complex will try more alternatives than necessary.

Furthermore, it is extendable to more complex manipulators and more complicated performance measures. In this model the gearboxes had merely a maximum torque-mass relation.

A more complex model of the gearbox should contain a speed-torque dependency and inertia properties.

Furthermore the optimization would be more useful if one made the cycle based optimization and could take other characteristics such as lifetime and cycle time into account.

With the electric motor included in the optimization additional design parameters such as gear ratio would be required likewise thermal aspects must be added to the characteristics included in the objective function.

A problem when designing robots is to know for which cycles a robot design should be optimized. Cycle-based optimization is also a time-consuming task. If it was possible to translate the result from an optimization without cycle-based evaluation, like the ones presented in this paper into a more global performance index it would be of benefit for the robot designer.

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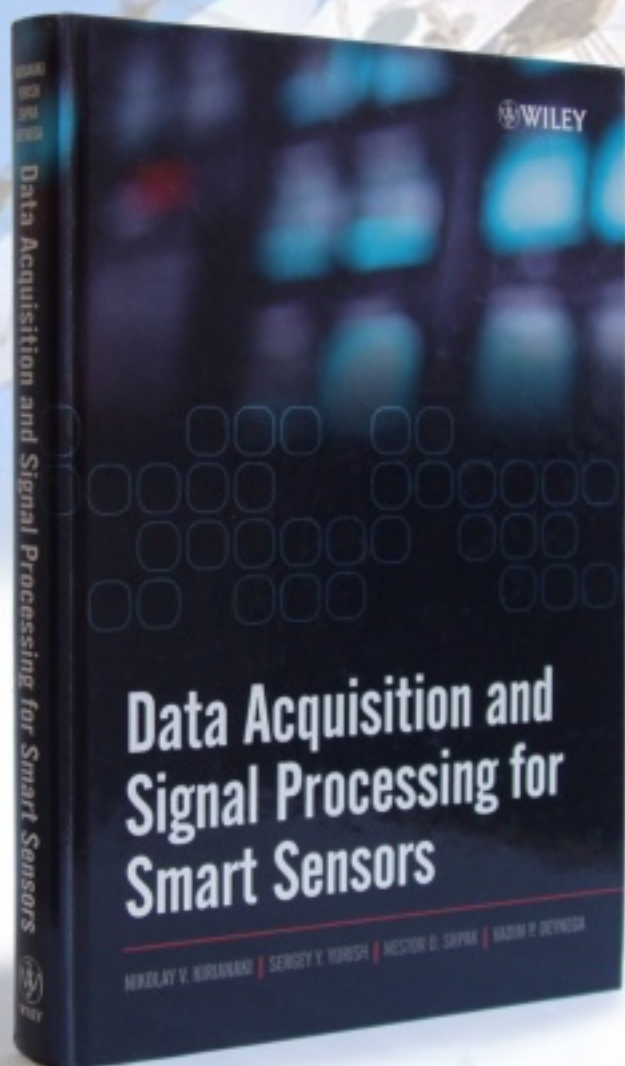
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